

MLRO Control Report Sheet

Reference No:
(MLRO to insert reference)

To be completed by MLRO

1) Have any other reports been made in respect of this client? **YES / NO**

If yes, Please insert dates / references below

Date: / /	Reference:
Date: / /	Reference:
Date: / /	Reference:

2) Summary of any discussion with Reporter:

Date of discussion:

Declaration (re: "Tipping off") signed by all relevant individuals: **YES / NO**

Matters discussed

3) Advice if any taken from others:

ICB: **YES / NO** Date:

Insert details:

SOCA: **YES / NO** Date:

Insert details:

4) Reporting Decision & reasons:

5) Date of report to SOCA (if appropriate): Ref:



6) Request for consent (if appropriate):

Date request sent:	
Date consent granted or deemed granted:	
Date moratorium period started:	
Date consent granted or deemed granted:	

Prepared by:

Name:

Date:

Signature:

